



ROUND 1 FUNDING

FULL APPLICATION

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DETAILED APPLICATION OVERVIEW

If the applicant has sufficiently demonstrated applicant and project eligibility through a Pre-Application that has been approved by the Louisiana Watershed Initiative (LWI), the applicant must proceed to submit a Detailed Application in order to participate in LWI's Round 1 Funding. Through the Detailed Application, the applicant will have the opportunity to expand on many of the questions raised in the Pre-Application and provide the LWI with details related to the project scope of work, benefits, permit compliance, and other factors required for project evaluation and prioritization.

The Office of Community Development (OCD) will review the relevant elements of the application for compliance with the National Objectives outlined by the U.S. Department of Housing and Urban Development (HUD) and other federal requirements, while LWI cooperating state agencies will review the application for additional program and technical elements.



AGREEMENT AND ACKNOWLEDGEMENTS

All information now and hereafter furnished in this application is and will be true, correct and complete in all material respects.

I understand that grantees and contractors (or Applicants) are prohibited from undertaking or committing any funds or choice-limiting actions, including property acquisition, demolition, movement, rehabilitation, conversion, repair or construction, or leasing or disposition prior to the execution of the "Authority to Use Grant Funds" (HUD 7015.16) or equivalent letter.

Note that violation of this provision may result in the denial of any Watershed Projects Grant Program: Local and Regional – Round 1 funds. Entering a contract obligating the applicant to any of the above constitutes a choice-limiting action.

Participating Louisiana Watershed Initiative state agencies are fulfilling citizen participation requirements to facilitate submittal of the state's Master CDBG-MIT Action Plan to HUD. HUD must issue full or partial approval of the Action Plan in order to authorize the funds and for this program to move forward. The program may be adjusted based on HUD's review and approval of the Action Plan.

APPLICANT EXECUTIVE / CHIEF ELECTED OFFICIAL

Must be agreed to by authorized signatory

Date: Time/Date stamp will be captured in IGX

The following is ineligible for funding:

- Activities only for maintenance of existing systems or deferred maintenance
- Activities categorized as response or temporary measures
- Activities for which construction has started prior to the execution of the funding agreement
- Activities that do not mitigate current and expected future flood risk
- Activities that are not aligned with the future mitigation needs identified through the Mitigation Needs Assessment included in the state's proposed Action Plan for these funds
- Activities that do not have an independent utility or complete solution for the proposed risk level
- Activities which could reasonably be expected to increase flooding conditions in areas upstream, downstream, and/or adjacent to the project area
- Activities that negatively impact archaeological, historical, and/or environmentally sensitive areas
- Activities that enlarge a dam or levee beyond the original footprint of the structure that existed prior to the disaster event



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Please acknowledge the following:

The proposed project is NOT only for maintenance of existing systems or deferred maintenance.

True False

The proposed project is NOT an emergency response or temporary measure.

True False

The proposed project HAS NOT started construction and will NOT start construction until authorized to do so.

True False

The proposed project DOES mitigate current and expected future flood risk.

True False

The proposed project provides benefit as a stand-alone project and/or HAS independent utility and/or is a complete solution for proposed risk level.

True False

The proposed project creates LITTLE TO NO risk of increasing flooding conditions in areas upstream, downstream, and/or adjacent to the project area.

True False

The proposed project WILL NOT enlarge a dam or levee beyond the original footprint of the structure that existed prior to the disaster event.

True False



SECTION A. APPLICANT INFORMATION AND CERTIFICATIONS

| APPLICANT AUTHORIZED REPRESENTATIVE | | |
|--|-----------|------|
| Name: | Title: | |
| Street: | | |
| City: | State: LA | Zip: |
| Email: | Phone: | |
| APPLICANT EXECUTIVE / CHIEF ELECTED OFFICIAL | | |
| Name: | Title: | |
| Street: | | |
| City: | State: LA | Zip: |
| Email: | Phone: | |

Please provide the below general information.

| APPLICANT | | |
|--|--|---|
| Applicant (Public Entity): | | |
| NFIP Community ID Number and date established, if applicable: | Is the community in good standing with the NFIP? <input type="checkbox"/> Yes <input type="checkbox"/> No | Date of current flood damage prevention ordinance, if applicable: |
| Does the applicant have any open Fair Housing and Equal Opportunity (FHEO) complaints? | | |
| APPLICANT POINT OF CONTACT | | |
| Name: | Organization Name: | |
| Street: | | |
| City: | State: LA | Zip: |
| Email: | Phone: | |

| PROJECT NAME |
|--------------|
| |



SECTION B. PROJECT LOCATION AND TYPE

Please complete the following section and provide requested attachments.

| PROJECT STREET ADDRESS | | | |
|--|-----------------------------------|-----------------------------------|-----------------------------------|
| Please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address or nearest cross-street of the central point of the project. | | | |
| Street: | | | |
| City: | Parish: | State: LA | Zip: |
| Please select the project location watershed: | | | |
| <input type="checkbox"/> Region 1 | <input type="checkbox"/> Region 2 | <input type="checkbox"/> Region 3 | <input type="checkbox"/> Region 4 |
| <input type="checkbox"/> Region 5 | <input type="checkbox"/> Region 6 | <input type="checkbox"/> Region 7 | <input type="checkbox"/> Region 8 |
| If your project includes multiple street addresses, please upload a spreadsheet including all addresses here. | | | |
| PROJECT GPS COORDINATES | | | |
| Please input primary GPS coordinates of the project location(s) (decimal degrees). | | | |
| Latitude: | | Longitude: | |
| Coordinate Description: If your project includes more than ten locations, you may upload a spreadsheet with latitude and longitude. | | | |
| PROJECT MAP AND EXISTING CONDITIONS | | | |
| Please attach documents that meet the following mapping needs for the project. Examples are provided in Appendix 2.8. More than one need may be met on the same map, but all elements must be provided. | | | |
| <input type="checkbox"/> <u>Project location map</u> . A city or parish scale map (large enough to show the entire project area) with the project site and elements/improvements marked on the map | | | |
| <input type="checkbox"/> <u>An aerial photograph of the project area</u> | | | |
| <input type="checkbox"/> A map that indicates the <u>area and depth of ground disturbance</u> at the site, if applicable. | | | |
| <input type="checkbox"/> <u>A parcel map with property identification numbers</u> that identifies which properties will be directly affected by project construction or buyouts, if applicable | | | |
| <input type="checkbox"/> A high-resolution topographic map with project (any appropriate USGS hydrologic unit code (HUC)) marked on the map (Topographic maps can be printed from the internet at www.topozone.com .) | | | |
| <input type="checkbox"/> A map showing the "Ecological System" layer of the project area USGS GAP Landcover 2011 Database | | | |
| <input type="checkbox"/> Attach photographs for each project site. The photographs should be representative of the project area, including any relevant streams, creeks, rivers, etc. and drainage areas that affect the project site or will be affected by the project. Enough photographs should be provided to view the project site(s) from all angles. Label photographs by project site, if applicable. | | | |



| MITIGATION ACTIONS | |
|---|--|
| Proposed projects may include more than one mitigation actions listed below. Please select all actions applicable to the project. | |
| <p>Floodplain Restoration and Preservation</p> <ul style="list-style-type: none"> <input type="checkbox"/> Floodplain restoration <input type="checkbox"/> Floodplain expansion/benching <input type="checkbox"/> Stream restoration <input type="checkbox"/> Wetlands/marshland restoration/creation <input type="checkbox"/> Conservation easements/land acquisition <input type="checkbox"/> Riparian buffers <input type="checkbox"/> Other: _____ <p>Flood Storage</p> <ul style="list-style-type: none"> <input type="checkbox"/> Detention basin <input type="checkbox"/> Retention basin <input type="checkbox"/> Bundled upstream projects <input type="checkbox"/> Other: _____ <p>Critical Facilities and Infrastructure Flood Mitigation</p> <p>Asset or system flood mitigation</p> <ul style="list-style-type: none"> <input type="checkbox"/> Asset/system elevation or relocation <input type="checkbox"/> Asset/system hardening <input type="checkbox"/> Asset/system redundancies (i.e., backup power supply) <p>Facility/structure flood mitigation</p> <ul style="list-style-type: none"> <input type="checkbox"/> Wet/dry floodproofing <input type="checkbox"/> Relocation <p>Grounds flood mitigation</p> <ul style="list-style-type: none"> <input type="checkbox"/> Drainage <input type="checkbox"/> Levee or floodwall <input type="checkbox"/> Other _____ | <p>Physical Non-Structural Mitigation*</p> <ul style="list-style-type: none"> <input type="checkbox"/> Elevation <input type="checkbox"/> Voluntary acquisition <input type="checkbox"/> Reconstruction <input type="checkbox"/> Relocation <input type="checkbox"/> Wet/dry-floodproofing (commercial only) <p>Stormwater Management—Gray Infrastructure</p> <ul style="list-style-type: none"> <input type="checkbox"/> New/upgraded elements (culverts, flap gates/flood gates, pumps, etc.) <input type="checkbox"/> Removal of impediments/constrictions (spoil banks, weirs, bridge piling, etc.) <p>Stormwater Management—Green Infrastructure</p> <ul style="list-style-type: none"> <input type="checkbox"/> Stream daylighting <input type="checkbox"/> Permeable pavement <input type="checkbox"/> Green roofs <input type="checkbox"/> Bioswales/Stormwater parks <input type="checkbox"/> Green streets/urban tree canopy <input type="checkbox"/> Rain gardens/bioretention <input type="checkbox"/> Cisterns and rainfall harvesting devices <input type="checkbox"/> Subsurface infiltration <input type="checkbox"/> Other: _____ <p>Other innovative and/or replicable flood control activities</p> <ul style="list-style-type: none"> <input type="checkbox"/> Groundwater storage <input type="checkbox"/> Levee realignment <input type="checkbox"/> Community transformation activities <input type="checkbox"/> List: _____ |

*May include residential, commercial, agricultural, or industrial buildings and assets. Critical facilities and infrastructure should be addressed under **Critical Facilities and Infrastructure Flood Mitigation** action type.



SECTION C. DESCRIPTION OF MITIGATION NEED

Please fill out the sections below and provide attachments with the requested information.

| FLOOD RISK INFORMATION | |
|---|---|
| <input type="checkbox"/> Attach the appropriate flood profile and discharge tables, if applicable, from the community Flood Insurance Study with the project site and elements/improvements marked. Please see the <i>Flood Insurance Study Attachment Examples</i> (Appendix 2.10) for guidance. | |
| <input type="checkbox"/> Attach the FIRMette from the Flood Insurance Rate Map (FIRM) (FIRMs are typically available from your local floodplain administrator who may be located in the planning, zoning, or engineering office, or the FEMA web page at msc.fema.gov . Maps can also be ordered from the Map Service Center at 1-800-358-9616. Clearly mark all construction areas of the project on the map. | |
| <input type="checkbox"/> Attach Additional Flood Risk Information Description: | |
| Using the Flood Insurance Study or FIRM, indicate the applicable flood zones for the project site. Check all that apply. | |
| <input type="checkbox"/> VE or V 1-30 | <input type="checkbox"/> B or X (shaded) |
| <input type="checkbox"/> AE or A 1-30 | <input type="checkbox"/> C or X (unshaded) |
| <input type="checkbox"/> AO or AH | <input type="checkbox"/> Floodway |
| <input type="checkbox"/> A (no base flood elevation given) | <input type="checkbox"/> Coastal Barrier Resource Act (CBRA) Zone |
| Description of Mitigation Needed | |
| Provide a brief description of the mitigation need(s) the proposed project is intended to address and include information on the type of flood hazard the proposed project will mitigate (e.g. storm surge, riverine flooding, flash flooding, tidal flooding, etc.). Any mitigation needs must be consistent with those described in the Mitigation Needs Assessment of the state's proposed Action Plan to spend funds for these types of projects. | |
| Note: HUD defines mitigation as those activities that increase resilience to disasters and reduce or eliminate the long-term risk of loss of life, injury, damage to and loss of property, and suffering and hardship, by lessening the impact of future disasters. | |
| | |



SECTION D. PROJECT BENEFITS

Please provide information and attachments requested in the following section.

| BENEFITTING AREA | | | |
|--|---|--|-----------------------------------|
| <input type="checkbox"/> Attach a map(s) of <u>the project's benefitting areas</u> . The primary benefitting area is the area within which maximum flood loss reduction and the project's primary benefits can be expected to be realized, per the description provided in Section C. The primary benefitting area must be clearly marked on the map. If there will be residual benefits or secondary flood risk reduction benefits beyond the primary benefitting area, please feel free to also delineate such boundaries clearly on the map. If there are any areas that expect to experience negative effects as a result of the project, these must also be clearly delineated. | | | |
| Do you have an Area Benefit Project or a Direct Benefit Project? | | | |
| <input type="checkbox"/> Area Benefit Project <input type="checkbox"/> Direct Benefit Project | | | |
| Please list the jurisdictions (i.e. parishes, municipalities) within the project's benefitting areas: | | | |
| Primary benefitting area: _____ | | | |
| Secondary benefitting area(s): _____ | | | |
| Estimate the number of people in the project's: | | | |
| Primary benefitting area: _____ Secondary benefitting area (if applicable): _____ | | | |
| How was this number calculated? | | | |
| <p>OCD is required to meet a national objective for each project funded with CDBG-MIT funds. Depending on the type of project, different documentation will be required to meet the national objective. Please review the descriptions below and select the best fit for your project.</p> <p style="text-align: center;">Which best describes the CDBG-MIT national objective that the proposed project addresses? (See FR-6109-N-02 for further information on national objectives)*</p> | | | |
| <input type="checkbox"/> L/M Income Area Benefit <input type="checkbox"/> L/M Buyouts | <input type="checkbox"/> L/M Housing Incentives <input type="checkbox"/> L/M Income Jobs | <input type="checkbox"/> Address an Urgent Mitigation Need | |
| Please indicate which watershed region(s) the proposed project will benefit. The LWI has established provisional watershed regions (shapefiles for reference here) throughout the state. <i>It may be appropriate to select more than one watershed region.</i> | | | |
| <input type="checkbox"/> Region 1 | <input type="checkbox"/> Region 2 | <input type="checkbox"/> Region 3 | <input type="checkbox"/> Region 4 |
| <input type="checkbox"/> Region 5 | <input type="checkbox"/> Region 6 | <input type="checkbox"/> Region 7 | <input type="checkbox"/> Region 8 |
| Please indicate ALL most impacted and distressed (MID) areas the proposed project will benefit, as defined either by A or B. Consult the state of Louisiana CDBG-MIT Action Plan for a list of MID areas (please select one and fulfill all requested information for the column selected): | | | |



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|---|---|
| <input type="checkbox"/> A) Project benefits a parish that was previously determined by HUD to be most impacted and distressed as a result of the 2016 Floods. | <input type="checkbox"/> B) Project benefits a parish that is identified by the State of Louisiana as LA-identified most impacted and distressed as a result of the 2016 Floods in accordance with the draft CDBG-MIT Action Plan. |
| Parish Name: <hr/> | Parish Name: <hr/> |

| LEVEL OF RISK REDUCTION | | |
|--|------------------------------|-----------------------------|
| <input type="checkbox"/> Please complete and attach the Benefit-Cost Analysis (fema.gov/benefit-cost-analysis), which includes specific information about historical flood loss and expected flood loss mitigation. Please note a BCA is not required for project approval; however, the Round 1 scoring criteria allots points that are dependent on BCA results. In order to be awarded points in this category, FEMA BCA must be utilized. | | |
| <p>Does the project protect or otherwise mitigate properties that have experienced repeated flood losses? Please note that properties do not need to be NFIP insured to qualify. (NOTE: Property owners or renters who receive federal financial flood disaster assistance after a presidentially declared disaster may be required to obtain and maintain flood insurance on the property. The recipient of disaster relief funding who fails to "obtain and maintain" flood insurance may be ineligible for future disaster assistance as well as be required to repay disaster assistance previously received.)</p> <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| <p>What is the project's level of risk reduction within the primary benefitting area, if applicable? For flood control systems, critical facilities and infrastructure flood mitigation, non-structural mitigation, or other coastal or riverine flooding projects, this will be the project mitigation design elevation and datum. For flood control systems (drainage), stormwater management projects, or other stormwater/drainage projects, this will be the project design rainfall event, based on NOAA's Atlas 14 vol. 9.</p> | | |
| <p>How will future flood risk be considered in the design of the proposed project? Please specifically reference future risks and mitigation needs in alignment with the Mitigation Needs Assessment included in the state's proposed Action Plan to spend funds on these types of projects (see appendix 0.1).</p> | | |
| <p>Is the project designed to be adaptable to higher levels of flood risk reduction in the future? If so, please explain below.</p> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | | |



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| PROJECT EFFECTIVENESS | | | | | | | | | | | | | | | |
|--|------------------------|---------------|--------------------------------------|---|------|------|------|------|-------|--|------|------|------|------|-------|
| Please reference the Project Effectiveness Instructions for detailed guidance on completing this table. Data provided in table is just an example of what should be entered. | | | | | | | | | | | | | | | |
| <ul style="list-style-type: none"> Enter the numerical value of the design storm intervals (i.e. 5 year, 10 year, 25 year) in the "Event Likelihood (recurrence interval)" section. Group the structures that will experience flood risk reduction as a result of the proposed project by structure type. Temporary buildings, outbuildings, garages, and sheds must not be included in this analysis. Multiple parcels of the same structure type may be grouped together in the project effectiveness table below as long as the H&H Model report includes a map showing each structure of interest with its unique identifier cross-referenced within a key or associated table. | | | | | | | | | | | | | | | |
| Parcel ID | Structure Type/Use | Risk Category | Raw Count (structures or households) | Without project | | | | | | With project | | | | | |
| | | | | Event likelihood (recurrence interval) Ex: 1% annual chance (100 year storm) | | | | | | Event likelihood (recurrence interval) | | | | | |
| | | | | 5 | 10 | 25 | 50 | 100 | 500 | 5 | 10 | 25 | 50 | 100 | 500 |
| | | | | Year | Year | Year | Year | Year | Year | Year | Year | Year | Year | Year | Year |
| | | | | 0.2 | 0.1 | 0.04 | 0.02 | 0.01 | 0.002 | 0.2 | 0.1 | 0.04 | 0.02 | 0.01 | 0.002 |
| 1 | Hospital | IV | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| 2 | Single family dwelling | II | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| 3 | School | III | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| | | | | | | | | | | | | | | | |

H&H Model Report

Instructions: The applicant may choose up to six design storm intervals (for example, 25-percent, 10-percent, 2-percent, 1-percent, 0.2-percent). All projects except non-structural projects are expected to have basic H&H modeling completed.

Please see [Attachment 2: Hydrologic and Hydraulic Report Checklist](#) for further guidance on preparation of these materials.

Please upload all modeling reports and associated information



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| PASSIVE OR ACTIVE SOLUTION | | |
|---|------------------------------|-----------------------------|
| Is the project a completely passive or preventative solution (requires no moving parts, human intervention, or electricity during a flood or storm event)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Explain: | | |
| If the answer to the previous question was NO: Is the project passive except for parts that are self-activated and not dependent on electricity, such as those triggered by water pressure? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Explain: | | |
| If the answer to the previous question was NO: Does the project require human intervention, such as operating pumps, gates, or other equipment in the event of a flood? Is automation dependent on electricity? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Explain: | | |
| If human intervention or electricity is needed, please describe in the space below, or refer to an attachment that explains the project operations in greater detail. | | |
| <input type="checkbox"/> Documentation attached | | |
| | | |



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PUBLIC BENEFITS

For each question, add your answer in the text boxes provided.

Does the proposed project provide new or enhanced recreational space, cultural resource or community resource? Yes No

Please describe in the space provided below. Please describe whether the space is active (e.g., sports fields) or passive (e.g., picnic areas)

Will the proposed project result in the loss or deterioration of any public outdoor recreational space, cultural resource, or community resource?

Yes No

Please explain and describe any mitigation for lost public space.

Does the project include an educational component or program? Yes No

Please describe below.

Does the project provide job creation (beyond construction and maintenance) or economic opportunity to the community? Yes No

Please describe below.



PROJECT BENEFICIARIES

Area Benefit Activities

The service area is the entire area to be served by the CDBG-funded activity. For projects that provide a benefit on an area basis (“Area Benefit”), benefitting population information should be determined using the 2015 low- and moderate-income summary data (LMISD) located on the HUD Exchange website (hudexchange.info/programs/acs-low-mod-summary-data/). A reasonable service area should be selected and then LMISD data referenced for an equivalent geography. The service area need not have coterminous boundaries with Census tract borders or other officially recognized boundaries but must be primarily residential in nature. The service area shall not be drawn to intentionally include LMI persons that would not benefit, nor shall it be drawn to intentionally exclude non-LMI persons that would benefit. The transition policy for LMISD is further explained in CPD Notice 19-02, which updates CPD 15-05, CPD 14-10 and CPD 14-11 and can be found at hudexchange.info/resource/5794/notice-cpd1902-low-and-moderate-income-summary-data-updates/. Also, remember that the beneficiary data may also be collected using household survey method if any portion of the target area is smaller than a census block. (For guidelines on conducting income surveys, visit hudexchange.info/resource/4103/notice-cpd-14-013-guidelines-income-surveys-lmi-persons-cdbg-activity/). No beneficiary data may be determined using a percentage of a block group.

Please list all Census Tract(s) and/or Block Group(s) in the primary and secondary benefitting area in the spaces provided below:

| | | | | |
|--|--|--|--|--|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

- Map (or maps) delineating the following items *for each target area* attached:
1. Census tracts and/or block groups (by number).
 2. Location of concentrations of low to moderate income (LMI) persons, showing number and
 3. Boundaries of areas in which the activities will be concentrated; and
 4. The specific location of the project activity

Area Benefit Activities - Beneficiary Income Information (NOTE: Please skip this section and complete the Direct Area Activities Section if your project is a Direct Benefit Project)
Please refer to <https://www.hudexchange.info/programs/acs-low-mod-summary-data/> for information required to complete the following section.

| Proposed Project Number of LMI Households: | Proposed Project Total Households: | |
|---|------------------------------------|-------------|
| Proposed Project Overall LMI Percentage: % | | |
| Income Levels | Total | Percentage |
| 1. Total Number of Persons Benefitting | | 100% |
| 2. Total Number of Persons Less Than or equal to 50% Area Median Income | | |
| 3. Total Number of Persons Over 50% not greater than 80% Area Median Income | | |
| 4. Total Number of Persons Over 80% Area Median Income | | |
| Total Population: | | |



Direct Benefit Activities (NOTE: Sections A and B below are not applicable to Area Benefit Activities)

Direct benefit activities require personal individual information about the persons/households benefitting from the activity. For question A., enter the total individuals benefitting by race and ethnicity and by income level. This total for LMI is any person 80% or below the area median income and non-LMI are 81% or higher of the area median income. The LMI and non-LMI total should equal the population total in the following section on Low to Moderate Income Benefits. See hudexchange.info/programs/acs-low-mod-summary-data/ for further guidance on low to moderate income determination. Race and ethnicity are independent of each other and should be counted separately. For instance, if the activity served 20 white persons, 15 of which are not of Hispanic/Latino ethnicity and five of which are of Hispanic/Latino ethnicity, the information to be added into row “A. Race and Ethnicity, 1. White” should be 20 for Total and 5 for Hispanic/Latino.”

For question B., enter female-headed, elderly-headed, and handicapped-headed households for those LMI (80% or below area median income) and those non-LMI (above 80% area median income).

| A. Race and Ethnicity | Total | | Hispanic/Latino | |
|--|-------|---------|-----------------|---------|
| | LMI | Non-LMI | LMI | Non-LMI |
| 1. White | | | | |
| 2. Black/African American | | | | |
| 3. Asian | | | | |
| 4. American Indian/Alaskan Native | | | | |
| 5. Native Hawaiian/Other Pacific Islander | | | | |
| 6. American Indian/Alaskan Native and White | | | | |
| 7. Asian and White | | | | |
| 8. Black/African American and White | | | | |
| 9. American Indian/Alaskan Native and Black/African American | | | | |
| 10. Other multi-racial | | | | |
| 11. Unknown | | | | |
| Total Persons: | | | | |
| B. Head of Household | Total | Percent | | |
| 1. Female-Headed Households | | | | |
| 2. Elderly-Headed Households | | | | |
| 3. Handicapped-Headed Households | | | | |

If available, attach Household Income Questionnaires, affidavits, or other documentation used to determine income/LMI data for each household, as relevant to each benefitting structure type attached (see hudexchange.info/programs/acs-low-mod-summary-data/ for guidance); Property Owner Notice of Voluntary Interest Template may be used (Appendix 3.3.2).



SECTION E. PROJECT SCOPE OF WORK

Please fill in the following sections or attach a detailed scope document.

DETAILED SCOPE OF WORK

Provide a detailed description of the scope of work (what you are planning to do), including conceptual plans, narratives, and/or drawings. The detailed scope of work must describe existing conditions, the change being implemented, as well as the expected outcome. This should include elements including, but not limited to:

- current capacity of relevant water bodies and estimated future conveyance/inundation frequencies
- any proposed landcover change
- best management practices to be employed
- operation regime
- utilities existing in project area
- distance of proposed project from hazardous materials, EPA superfund sites, other hazardous assets

Additional maps including road connections, hydrography (streams, rivers, wetlands, etc.), watershed boundaries, road/stream intersections, habitat richness, soil erodibility, slope, landcover, and land use/aerial and similar analysis may be helpful to support project benefits.

Additional resources and guidance can be found in the *Mitigation Action Sheets* (Appendix 2.11).

FOR EXISTING LEVEE OR DAM PROJECTS ONLY: No flood control projects (i.e. dams and levees) that enlarge a dam or levee beyond the original footprint of the structure that existed prior to the disaster event are eligible for funding. Please ensure that the scope of work clearly demonstrates that this project is not increasing the footprint of an existing dam or levee. Attach any documents that show that the project is eligible for funding.

Scope of Work:

Additional Scope of Work Documentation, if applicable

EXISTING LEVEE or DAM PROJECTS ONLY: Applicant must provide supporting documentation, which could include:

- 1) design documents of the structure, accompanied by written affidavit verifying that such documents accurately portray the subject structure as it was on the date of the 2016 flood event OR
- 2) a survey of the current structure accompanied by written affidavit verifying that the structure has not undergone changes since the 2016 flood event."

(Attach supporting documentation)



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PROJECT CHALLENGES

Please describe below any potential project implementation challenges or obstacles.

NEARBY / RELATED PROJECTS

Describe any projects or project proposals for nearby actions that could affect the project's effectiveness or should be taken into consideration during final design. If neighboring projects are already in the permitting process, please provide permit numbers as applicable (coastal use, etc.). Any nearby flood risk reduction projects should also be identified.



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ROUND 1 FUNDING OBJECTIVES

Round 1 is designed to fund implementation-ready, low-risk flood mitigation projects with no potential negative impacts on surrounding areas. In order to better understand the readiness of your project and the possible technical assistance required, please describe what tasks (other funding applications, studies, design, acquisition, etc.) have been completed related to this project (if any) as well as the level of risk and negative impact associated with this project.

How will future flood risk be considered in the design of the proposed project? Please specifically reference future risks and mitigation needs in alignment with the Mitigation Needs Assessment included in the state's [proposed Action Plan](#) to spend funds on these types of projects.



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| PROJECT STATUS | | | |
|---|--|------------------------------|------------------------------|
| For each question, add your answer in the space provided on the right. | | | |
| Has the project received letters of support, or letters of no objection, from any of the following: <input type="checkbox"/> Please provide letters as attachments to the application. | Local legislative delegation | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | Local public officials | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | Neighboring public officials or authorities that might be affected | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | Regional Planning Commission | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | Citizen Groups | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| FOR ELEVATION PROJECTS ONLY: Have the property owners in proposed elevation project expressed written interest in having their structures elevated? <input type="checkbox"/> Please provide letters as attachments to the application. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| FOR BUYOUT PROJECTS ONLY: Have the property owners in proposed buyout project expressed written interest in having their structures purchased? <input type="checkbox"/> Please provide letters as attachments to the application. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| PREVENTION OF "CHECKERBOARDING": Grantees conducting buyouts in this program should avoid circumstances in which parcels that could not be acquired through a buyout remain alongside parcels that have been acquired through the grantee's buyout program. "Checkerboarding" may be prevented by the buyout area meeting one of the following criteria. Please select all that apply to your project. <ul style="list-style-type: none"> <input type="checkbox"/> Buyout area is clearly defined within a subdivision or within the boundaries of an area clearly delineated by arterial or collector roads or environmental features such as wooded areas, water bodies or tributaries/lakes/canals/ditches <input type="checkbox"/> Buyout area includes largely contiguous sites or sites concentrated within 1 acre or more <input type="checkbox"/> Buyout area proposal features the participation of over 80% of the residents in the subject area <input type="checkbox"/> Buyout area aligns with the footprint of a designated or intended disposition strategy (ex: property is intended to become a wildlife management area managed and owned by a state or federal agency) | | | |
| Please provide any applicable documentation pertaining to the prevention of "checkerboarding" | | | |



NOT FOR SUBMISSION

ROUND 1 FUNDING
Full Application

| | | |
|--|------------------------------|-----------------------------|
| Please select the option that best describes the status of the project design. Attach documentation to support your answer. | | |
| <input type="checkbox"/> Project has minimal or no design complete. | | |
| <input type="checkbox"/> Project has conceptual design complete (scope, drawings, cost estimates, no environmental consultations). | | |
| <input type="checkbox"/> Project has sufficient design complete for regulatory review, and initial consultations are complete. | | |
| <input type="checkbox"/> Project has completed design but is not yet permitted. | | |
| <input type="checkbox"/> Project has completed design and is fully permitted. | | |
| Does the proposed project have the potential to be replicated in other parts of Louisiana? Please explain current project status below and attach relevant documentation (i.e. drawings, cost estimates, permits, etc.): | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | | |
| Does the project have a defined governance structure in place for the implementation and long-term operation and maintenance? In the space below, please provide a brief description of how you plan to carry out the long-term operations and maintenance of the project. | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | | |
| If applicable, please upload your operations and maintenance plan. | | |
| Are agreements in place for receivership of the properties? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Please describe long-term plans for purchased properties. What entity will own and maintain the purchased properties? | | |
| | | |



SECTION F. ENVIRONMENTAL REVIEW AND PERMITTING

| PERMITTING | | |
|---|-----------------|----------------------------------|
| Please provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If necessary, attach detailed documentation. | | |
| <input type="checkbox"/> Documentation attached. | | |
| Permit | Granting Agency | Expected Approval Date, if known |
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ENVIRONMENTAL IMPACTS

For each question, add your answer in the blank space provided. Where able, please quantify benefits with appropriate metrics or calculations.

What is the existing condition of the hydrology of the benefitting watershed area? Is it natural or urban?

What is the expected impact of the proposed project on the natural hydrology (improve, no change, detract)? For example, a project may show benefits by providing flood storage and conveyance, reduce flood velocities, reducing peak flows, promoting infiltration and aquifer recharge, or reduce frequency and duration of low surface flows.

What is the existing condition of the water quality of the benefitting watershed area? What is the current LDEQ-designated use? Possible resources that may be used to obtain this information include EPA water quality assessments, 303(d) listings, and/or Total Maximum Daily Loads (TMDLs), available online at: ofmpub.epa.gov/waters10/attains_index.home.

What is the expected impact on water quality resulting from the proposed project (improve, no change, detract)? For example, a project may show benefits by reducing sedimentation, reducing nutrients and impurities from runoff, processing organic wastes, or moderating temperature fluctuations.



NOT FOR SUBMISSION

ROUND 1 FUNDING
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| | | |
|---|------------------------------|-----------------------------|
| <p>What is the expected impact of the proposed project on aquatic/floodplain habitat (adds/enhances, no change, detracts/removes)? For example, a project may enhance floodplain habitat by adding rich alluvial soils to promote vegetative growth, maintain biodiversity, maintain integrity of ecosystems, provide breeding and feeding grounds, create enhanced waterfowl habitat, or protect habitats for rare and endangered species.</p> | | |
| Empty space for response | | |
| <p>Is the project watershed within a Conservation Opportunity Area, as defined by LDWF? (see Chapter 8 of the Louisiana Wildlife Action Plan)</p> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <p>Are there documented state Species of Greatest Conservation Need, federally listed Species of Concern, Threatened or Endangered species in the area expected to be impacted by the project?</p> <p><input type="checkbox"/> If yes, please attach documentation and/or a map for each species.</p> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <p>Are there documented Invasive Species in the area expected to be impacted by the project?</p> <p><input type="checkbox"/> If yes, please attach documentation and/or a map for each Invasive Species in the project area.</p> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |



SECTION G. PLANNING PREREQUISITES

| PLAN ALIGNMENT | |
|--|---|
| <p>Every proposed project must demonstrate alignment with current applicable local and state plans. Please indicate alignment of the proposed projects with plans noted below. Note that the project does not need to be listed in the plan but must demonstrate that it is not in conflict with stated goals and objectives, including those outlined in the Mitigation Needs Assessment of the state's proposed Action Plan.</p> | |
| Plan | Project Aligns with Plan |
| State Hazard Mitigation Plan | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Coastal Master Plan | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Wildlife Action Plan (critical/threatened habitats and species, SGCN, invasive species) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Inland Water Body Management Plan https://www.wlf.louisiana.gov/resources/category/freshwater-inland-fish/inland-waterbody-management-plans | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Scenic River Management Plan https://www.wlf.louisiana.gov/resources/category/natural-and-scenic-rivers/management-plans | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Statewide Transportation Plan | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Mitigation Needs Assessment of the state's proposed Action Plan | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| LOCAL PLANS | |
| Local hazard mitigation plan | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Local master plan | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Stormwater or floodplain management plan, as applicable | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Natural resource and/or open space management plan, as applicable | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Other local plans or ordinances, as applicable <i>Upload any relevant local plans indicated above with areas of alignment highlighted:</i> | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | |



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ROUND 1 FUNDING
Full Application

Please describe, in detail, how your project aligns with the Mitigation Needs Assessment of the state's [proposed Action Plan](#) as well as how it aligns with any other applicable plans listed above. Please indicate specific sections of the plan(s) as necessary.



SECTION H. COMMUNITY ENGAGEMENT

The Louisiana Watershed Initiative would like to capture any community engagement activities for future planning efforts. COMMUNITY ENGAGEMENT IS NOT REQUIRED FOR FUNDING.

COMMUNITY ENGAGEMENT

Please describe any community engagement which informed the development of the project objectives or design, or informed stakeholders about this project. Please provide details of the number of any public meetings held and any innovative engagement techniques used, including hands-on and digital feedback methods, and any efforts to engage traditionally marginalized groups.

Please attach documentation of the newspaper advertisement and public notice for all public meetings held prior to submission of this application.

None Held

Please attach documentation, including sign-in sheets and minutes, for each public meeting held prior to submission of this application.

None Held

If applicable, please attach documentation that the needs of non-English speaking citizens have been met where a significant number of non-English speaking citizens can be reasonably expected to participate.

None Held



SECTION I. PROJECT SCHEDULE

List the major milestones and deliverable dates in this project by providing an estimated timeline for the critical project activities. Provide total expected expenditures by quarter.

| PROJECT MILESTONES/SCHEDULE OF WORK | | | | EXPECTED EXPENDITURES BY QUARTER | | |
|---|---|-------------------------|-----------------------------|----------------------------------|-------------------------------------|-----------------------------|
| Activity/Milestone | Number of weeks / months to complete once initiated | | Quarter Milestone Initiated | Quarter Milestone Completed | Quarter following notice to proceed | Total expected expenditures |
| <i>Example: Demolition of 6 structures /debris removal</i> | <i>Example: 4 months</i> | <i>Example: 2 weeks</i> | <i>Year 1, Q3</i> | <i>Year 1, Q4</i> | | |
| | | | | | Quarter 1, Year 1 | \$ |
| | | | | | Quarter 2 | \$ |
| | | | | | Quarter 3 | \$ |
| | | | | | Quarter 4 | \$ |
| | | | | | Quarter 1, Year 2 | \$ |
| | | | | | Quarter 2 | \$ |
| | | | | | Quarter 3 | \$ |
| | | | | | Quarter 4 | \$ |
| | | | | | Quarter 1, Year 3 | \$ |
| | | | | | Quarter 2 | \$ |
| | | | | | Quarter 3 | \$ |
| | | | | | Quarter 4 | \$ |
| | | | | | Quarter 1, Year 4 | \$ |
| TOTAL PROJECT DURATION: | | | | | | |
| Please provide the total time to project completion in years: | | | | | | |



ESTIMATED PROJECT BUDGET

Provide a total project budget including materials, labor, equipment costs, fees paid, and any other costs associated with the project. For *non-structural mitigation* and *critical facilities and infrastructure* projects, which include multiple properties/facilities, individual structure cost estimates should be provided within *Initial Critical Facilities Property Assessment* (Appendix 3.2).

All proposed project activities shall be accompanied by a detailed cost estimate prepared and signed by a Licensed Architect or Professional Engineer licensed in the State of Louisiana. The cost estimate shall contain the cost of construction, architectural/engineering fees, and related costs. The construction estimate shall be a listing of construction items (as a bid proposal), estimated quantity, unit of measure, unit price, and amount.

Architectural/engineering fees shall be identified by type in a line item format. Typical items include basic services, resident project representative, topographic surveying, property surveying, geotechnical investigation, and testing. Written justification must be provided for all engineering services other than basic services and resident project representative. The justification shall contain an explanation of why the service is needed and how the proposed fee was derived.

| ESTIMATED PROJECT BUDGET | | | | |
|--|-----------------|------------------------|----------------------|---------------------|
| <input type="checkbox"/> Attach Engineer's estimated project budget including materials, labor, and fees. | | | | |
| <input type="checkbox"/> Attach Completed <i>Initial Critical Facilities Property Assessment</i> (Appendix 3.2) with all required documentation, for each property expected to be included in the project (for non-structural mitigation and critical facilities and infrastructure project types only) | | | | |
| <input type="checkbox"/> Attach appropriate back-up documentation (i.e., quotes or detailed contractor assessment). Costs for the mitigation of each structure may be submitted along with the Appendix 3.2 – Initial Property Assessment Packets. Costs should include the following: Materials, labor (including equipment costs), fees paid, and any other costs associated with the project. | | | | |
| <i>Description</i> | <i>Quantity</i> | <i>Unit of Measure</i> | <i>Cost per Unit</i> | <i>Cost</i> |
| <i>Example: 18" Corrugated Metal Pipe</i> | <i>Ex.: 100</i> | <i>Ex.: Lin. Ft</i> | <i>Ex.: \$30.00</i> | <i>Ex.: \$3,000</i> |
| | | | | |
| | | | | |
| | | | | |
| <i>(insert additional rows as needed)</i> | | | | |
| TOTAL PROJECT COST | | | \$ | |
| Expected annual operating and maintenance cost of the project: | | | | |
| What is the expected project useful life, in years? | | | | |



SECTION K. FUNDING REQUEST

Please indicate the total dollar amount of Project Funds expected from each funding source. Round all amounts to the nearest dollar. The **TOTAL FUNDS** amount should equal the total project cost.

Identify the funding source and status of each of those funds (committed, applied for, etc.). Applicants are advised that, if Project Funds are expected from multiple sources, program designs and choices must be coordinated with related funding sources so as to avoid duplication of benefits and to ensure compliance with relevant regulations.

All funding sources (federal, local, etc.) that will be used to complete the project must be identified as well as the specific use of those funds. For example, if \$200,000 in local funds will be used to assist in the construction of a treatment facility for a new sewerage collection and treatment system, a specific identification of such must be shown on the funding overview.

| FUNDING OVERVIEW | | | | |
|--|--------|--------------|-----------------|---|
| Funding Source* | Amount | Use of Funds | Status of Funds | Documentation of Commitment attached? <i>*Note: Points can only be awarded if a firm commitment letter is provided with this application.</i> |
| | \$ | | | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| | \$ | | | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| <i>(insert rows as needed)</i> | \$ | | | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Louisiana Watershed Initiative Round 1 | \$ | | Funding Request | |
| TOTAL FUNDS | \$ | | | |

*Source may be local, state, federal, philanthropic, or private in nature

| PREVIOUS OR ONGOING FUNDING ATTEMPT(S) | | | |
|--|------------------|--------------|---|
| A Describe any previously unsuccessful attempts at funding the proposed project — for example, through FEMA Public Assistance, FEMA Hazard Mitigation Assistance, or through the parish or other source: | | | |
| Fund source | Amount requested | Date applied | Reason given for unsuccessful application or if funding attempt still ongoing |
| | | | |
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| APPLICANT DISCLOSURE REPORT |
|--|
| Attach Completed Applicant Disclosure Report |



SECTION L. PAST PROJECT EXPERIENCE

Provide information about past hazard mitigation and federally funded projects implemented by the eligible applicant **within the last five years** in the table below. Include funding sources, types of projects completed, duration of projects implemented, and any scope, schedule, or budget issues.

| PAST PROJECT EXPERIENCE | | | |
|--|-------------------------------------|----------------|-------------------------------------|
| Project Title | | Funding Source | Amount Awarded |
| | | | |
| Type of Mitigation Performed | Number/Type of Structures Mitigated | Project Status | Expected Completion/Completion Date |
| | | | |
| Please describe any scope, schedule, or budget issues. | | | |
| What was the role of the proposed Project Lead, if applicable? | | | |
| Project Title | | Funding Source | Amount Awarded |
| | | | |
| Type of Mitigation Performed | Number/Type of Structures Mitigated | Project Status | Expected Completion/Completion Date |
| | | | |
| Please describe any scope, schedule, or budget issues. | | | |
| What was the role of the proposed Project Lead, if applicable? | | | |



APPENDIX A. STATEMENT OF ASSURANCES

This Applicant/Grantee/Subrecipient hereby assures and certifies that:

It possesses legal authority to apply for a Community Development Block Grant (“CDBG”) and to execute the proposed CDBG program.

1. Its governing body has duly adopted, or passed as an official act, a resolution, motion, or similar action authorizing the filing of the CDBG application and directing and authorizing the person identified as the official representative of the Applicant/Grantee/Subrecipient to act in connection with the application, sign all understandings and assurances contained therein, and to provide such additional information as may be required.
2. It has facilitated citizen participation by providing adequate notices containing the information specified in the program instructions and by providing citizens an opportunity to review and submit comments on the proposed application.
3. Its chief executive officer, or other officer or representative of Applicant/Grantee/Subrecipient approved by the State:
 - a. Consents to assume the status of a responsible federal official under the National Environmental Policy Act of 1969 (42 U.S.C.A. §4321, et seq.) insofar as the provisions of such Act apply to the proposed CDBG Program; and
 - b. Is authorized and consents, on behalf of the Applicant/Grantee/Subrecipient and himself, to submit to the jurisdiction of the federal courts for the purpose of enforcement of Applicant/Grantee/Subrecipient’s responsibilities and his or her responsibilities as an official.
4. It will develop the CDBG program and use CDBG funds so as to give maximum feasible priority to the following activities, as necessary for establishing eligibility under the applicable funding source, (1) activities that will benefit low and moderate income families, (2) activities that aid in the prevention or elimination of slums or blight, (3) activities that meet other community development needs having a particular urgency, or (4) activities that address the current and future risks identified in the Applicant/Grantee/Subrecipient’s Mitigation Needs Assessment as defined in 84 FR 45838 (August 30, 2019).
5. It will comply with the following applicable federal grant management regulations, policies, guidelines, and/or requirements as they relate to the application, acceptance, and use of federal funds: 2 CFR Part 200 (Uniform Administrative Requirements, Cost Principles, And Audit Requirements for Federal Awards).
6. It will administer and enforce the labor standards requirements set forth in 24 CFR §570.603 and any other regulations issued to implement such requirements.
7. It will comply with the provisions of Executive Order 11988, as amended by Executive Order 12148, relating to evaluation of flood hazards, and Executive Order 12088, as amended by Executive Order 12580, relating to the prevention, control and abatement of water pollution.
8. It will require every building or facility (other than a privately owned residential structure) designed, constructed, or altered with funds provided to Applicant/Grantee/Subrecipient to comply with any accessibility requirements, as required by Title III of the Americans with Disabilities Act of 1990 (42 U.S.C.A. § 12101 et seq.). The Applicant/Grantee/Subrecipient will be responsible for conducting inspections to ensure compliance with these specifications by the contractor.
9. It will comply with:
 - (a) Title VI of the Civil Rights Acts of 1964, 42 U.S.C. §2000d et seq., as amended, and the regulations issued pursuant thereto (24 CFR Part 1), which provide that no person in the United States shall on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant/Grantee/Subrecipient receives federal financial assistance and will immediately take any measures necessary to effectuate this



assurance. If any real property or structure thereon is provided or improved with the aid of federal financial assistance extended to the Applicant/Grantee/Subrecipient, this assurance shall obligate the Applicant/Grantee/Subrecipient, or in the case of any transfer of such property, any transferee, for the period during which the property or structure is used for another purpose involving the provision of similar services or benefits.

(b) Section 104 (b) (2) of Title VIII of the Civil Rights Act of 1968 (Fair Housing Act, 42 U.S.C.A. §3601, et seq.), as amended, which requires administering all programs and activities relating to housing and community development in a manner to affirmatively further fair housing. Title VIII further prohibits discrimination against any person in the sale or rental of housing, or the provision of brokerage services, including in any way making unavailable or denying a dwelling to any person, because of race, color, religion, sex, national origin, handicap or familial status.

(c) Section 109 of Title I of the Housing and Community Development Act of 1974 (42 U.S.C. §5309), and the regulations issued pursuant thereto (24 CFR Part §570.602), which provides that no person in the United States shall, on the grounds of race, color, national origin, or sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with funds provided under that Part. Section 109 further prohibits discrimination to an otherwise qualified individual with a handicap, as provided under Section 504 of the Rehabilitation Act of 1973, as amended, and prohibits discrimination based on age as provided under the Age Discrimination Act of 1975. The policies and procedures necessary to ensure enforcement of section 109 are codified in 24 CFR part 6.

(d) Executive Order 11063, as amended by Executive Order 12259, and the regulations issued pursuant thereto, which pertains to equal opportunity in housing and non-discrimination in the sale or rental of housing built with federal assistance.

(e) Executive Order 11246, as amended by Executive Orders 11375 and 12086, and the regulations issued pursuant thereto, which provide that no person shall be discriminated against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of federal or federally assisted construction contracts. Further, contractors and subcontractors on federal and federally assisted construction contracts shall take affirmative action to insure fair treatment in employment, upgrading, demotion, or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training and apprenticeship.

(f) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 701, et seq.), as amended, which provides that no otherwise qualified individual shall, solely, by reason of his or her handicap be excluded from participation, denied program benefits or subjected to discrimination on the basis of age under any program or activity receiving federal funding assistance.

10. The work to be performed by Grantee is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

Grantee agrees to comply with HUD's regulations in 24 CFR part 135, which implement section 3. Grantee also certifies that they are under no contractual or other impediment that would prevent it from complying with the part 135 regulations.

Grantee agrees to send to each labor organization or representative of workers with which the Grantee has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the Grantee's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the



positions; and the anticipated date the work shall begin.

Grantee agrees to include this section 3 clause in every subrecipient agreement and contract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of such contract or in this section 3 clause, upon a finding that the subrecipient or contractor is in violation of the regulations in 24 CFR part 135. Grantee will not contract with any subrecipient or contractor where the Grantee has notice or knowledge that the subrecipient or contractor has been found in violation of the regulations in 24 CFR part 135.

The Grantee will certify that any vacant employment positions, including training positions, that are filled (1) after the Grantee is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the Grantee's obligations under 24 CFR part 135.

Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this Agreement for default, and debarment or suspension from future HUD assisted contracts.

With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. § 5307) also applies to the work to be performed under this Agreement. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this Agreement that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

11. It will minimize displacement of persons as a result of activities assisted with CDBG funds. In addition, it will:
 - (a) Comply with Title II (Uniform Relocation Assistance) and Sections 301-304 of Title III (Uniform Real Property Acquisition Policy) of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. Chapter 61), and HUD implementing instructions at 24 CFR Part 42 and 24 CFR §570.606; and
 - (b) Inform affected persons of their rights and of the acquisition policies and procedures set forth in the regulations at 24 CFR Part 42; and
 - (c) Provide relocation payments and offer relocation assistance as described in Section 205 of the Uniform Relocation Assistance Act to all persons displaced as a result of acquisition of real property for an activity assisted under the CDBG Program. Such payments and assistance shall be provided in a fair, consistent and equitable manner that ensures that the relocation process does not result in different or separate treatment of such persons on account of race, color, religion, national origin, sex or source of income; and
 - (d) Assure that, within a reasonable period of time prior to displacement, comparable decent, safe and sanitary replacement dwellings will be available to all displaced families and individuals and that the range of choices available to such persons will not vary on account of their race, color, religion, national origin, sex, or source of income; and
 - (e) Assure that if displacement is precipitated by CDBG funded activities that require the acquisition (either in whole or in part) of real property, all appropriate benefits required by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. 4601 et seq., Pub. L. 91-646) and amendments thereto shall be provided to the displaced person(s). Persons displaced by rehabilitation of "Non-Uniform Act" acquisition financed (in whole or in part) with CDBG funds shall be provided relocation assistance in accordance with one of the following: (1) the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as required under 24 CFR Section 570.606 (a) and HUD implementing regulations at 24 CFR Part 42; (2) the requirements in 24 CFR Section 570.606 (b) governing the Residential Antidisplacement and Relocation Assistance Plan under Section 104 (d) of the Housing and Community Development Act of 1974; (3) the relocation requirements of Section 104 (k)



- of the Act; (4) the relocation requirements of 24 CFR Section 570.606 (d) governing optional relocation assistance under Section 105 (a) (11) of the Act.
12. It will establish safeguards to prohibit employees from using positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties, in accordance with CDBG regulations.
 13. It will comply with the provisions of the Hatch Act that limit the political activity of employees and the HUD regulations governing political activity at 24 CFR §570.207.
 14. It will give the State and HUD, and any of their representatives or agents, access to and the right to examine all records, books, papers, or documents related to the grant.
 15. It will ensure that the facilities under Applicant/Grantee/Subrecipient's ownership, lease or supervision utilized in the accomplishment of the CDBG Program are not listed on the Environmental Protection Agency's (EPA) list of violating facilities and that it will notify HUD of the receipt of any communication from the EPA Office of Federal Activities indicating that a facility to be used in the CDBG Program is being considered for listing by the EPA as a violating facility.
 16. With regard to environmental impact, it will comply with the National Environmental Policy Act of 1969 (42 U.S.C. §4321-4347), and Section 104(f) of the Housing and Community Development Act of 1974 (42 U.S.C. §5304(d)).
 17. It will comply with the National Historic Preservation Act of 1966 (Title 54 of the United States Code.), as amended, Executive Order 11593, and the Preservation of Archaeological and Historical Data Act of 1966 (Title 54 of the United States Code), as amended, by:
 - (a) Consulting with the State Historic Preservation Office to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800) by the proposed activity; and
 - (b) Complying with all requirements established by the State to avoid or mitigate adverse effects upon such properties.
 18. It will comply with the provisions in 24 CFR §570.200(c) regarding special assessments to recover capital costs.
 19. It will adopt and enforce a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in non-violent Civil Rights demonstrations and will enforce applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstrations within its jurisdiction.
 20. It certifies that no federally appropriated funds will be used for any lobbying purposes regardless of the level of government.
 21. It will abide by and enforce the conflict of interest requirement set forth in 24 CFR §570.489(h).
 22. It will comply with HUD rules prohibiting the use of CDBG funds for inherently religious activities, as set forth in 24 CFR §570.200(j).
 23. Activities involving new building construction, alterations, or rehabilitation will comply with the Louisiana State Building Code.
 24. In relation to labor standards, it will comply with:
 - (a) Section 110 of the Housing and Community Development Act of 1974, as amended and as set forth in 24 CFR §570.603.
 - (b) Davis-Bacon Act, as amended (40 U.S.C. §3141 et seq.).
 - (c) Contract Work Hours and Safety Standards Act (40 U.S.C. §3701 et seq.).
 - (d) Federal Fair Labor Standards Act (29 U.S.C. §201 et seq.)
 25. It will comply with the flood insurance purchase requirement of Section 102(a) of the Flood Disaster Protection Act of 1973, 42 U.S.C. §4001 et seq., which requires the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the



Secretary of the Department of HUD as an area having special flood hazards. The phrase “federal financial assistance” includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect federal funding. It will comply with 42 USC § 4012a, which requires that if the federal financial assistance is provided in the form of a loan or an insurance or guaranty of a loan, the amount of flood insurance required need not exceed the outstanding principal balance of the loan and need not be required beyond the term of the loan. If the federal financial assistance is in the form of a grant, the requirement of maintaining flood insurance on any dwelling on any part of the property in an amount equal to the lesser of 1) the value of the property less land costs or 2) the maximum amount of flood insurance available under the National Flood Insurance Program to the extent coverage can be obtained under the National Flood Insurance Program, shall apply during the life of the property, regardless of transfer of ownership of such property.

26. It will comply with the Farmland Protection Policy Act, 7 U.S.C.A. §4201 et seq., which requires recipients of federal assistance to minimize the extent to which their projects contribute to the unnecessary and irreversible commitment of farmland to nonagricultural uses.
27. It will comply with Sections 1012 and 1013 of Title X of the Housing and Community Development Act of 1992 (Public Law 102–550, as amended). The regulation appears within Title 24 of the Code of Federal Regulations as part 35 (codified in 24 CFR Part 35). The purpose of this regulation is to protect young children from lead-based paint hazards in housing that is financially assisted by the Federal government or sold by the government. This regulation applies only to structures built prior to 1978.
28. It will comply with the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. §6901, et seq.).
29. It will comply with the Clean Air Act (42 U.S.C. §7401, et seq.), which prohibits engaging in, supporting in any way, or providing financial assistance for, licensing or permitting, or approving any activity which does not conform to the State implementation plan for national primary and secondary ambient air quality standards.
30. In relation to water quality, it will comply with:
 - (a) The Safe Drinking Water Act of 1974 (42 U.S.C. §§ 201, 300(f) et seq. and U.S.C. §349), as amended, particularly Section 1424(e) (42 U.S.C. §§ 300h-303(e)), which is intended to protect underground sources of water. No commitment for federal financial assistance can be entered into for any project which the U.S. Environmental Protection Agency determines may contaminate an aquifer which is the sole or principal draining water source for an area; and
 - (b) The Federal Water Pollution Control Act of 1972, as amended, including the Clear Water Act of 1977, Public Law 92-212 (33 U.S.C. §1251, et seq.) which provides for the restoration and maintenance of the chemical, physical and biological integrity of the nation’s water.
31. It will comply with HUD Environmental Standards (24 CFR, Part 51 and 44 F.R. 40860-40866, July 12, 1979).
32. With regard to wildlife, it will comply with:
 - (a) The Endangered Species Act of 1973, as amended (16 U.S.C. §1531 et seq.). Federally authorized and funded projects must not jeopardize the continued existence of endangered and threatened species or result in the destruction of or modification of habitat of such species which is determined by the U.S. Department of the Interior, after consultation with the state, to be critical; and
 - (b) The Fish and Wildlife Coordination Act of 1958, as amended, (16 U.S.C. §661 et seq.) which requires that wildlife conservation receives equal consideration and is coordinated with other features of water resource development programs.

Signing these assurances means that Applicant/Grantee/Sub recipient agrees to implement its program in accordance with these provisions. Failure to comply can result in serious audit and/or monitoring findings that require repayment of funds to the State or expending Applicant/Grantee/Sub recipient funds to correct deficiencies.

GRANTEE/SUBRECIPIENT CERTIFICATION

(Completed in system with time/date stamp)